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1135 Waivers What You Need To Know

Purpose of 1135 Waivers

Sufficient health care items and services are available to meet the needs of Medicare, Medicaid and CHIP beneficiaries;

Health care providers that provide such services in good faith can be reimbursed for them and not subjected to sanctions for noncompliance, absent any fraud or abuse



In short:

Are CMS regulations impeding your ability to respond to or recover from a disaster?



To issue waivers:





Considerations for Waiver Authority

- Scope and severity of event with specific focus on health care infrastructure
- Are there unmet needs for health care providers?
- Can these unmet needs be resolved within our current regulatory authority?



Examples of 1135 Waiver Authorities

Conditions of Participation

Licensure for Physicians or others to provide services in affected state

Emergency Medical Treatment and Labor Act (EMTALA)

Stark Self-Referral Sanctions

Medicare Advantage out of network providers

HIPAA



What waivers DON'T do:



 1135 waivers are not a grant or financial assistance program





- Do not allow individuals to be eligible for Medicare who otherwise would not be eligible
- Should NOT impact any response decisions, such as evacuations.
- Do not last forever. And appropriateness may fade as time goes on.

1135 Waivers

Scope

•Federal Requirements only, not state licensure

Purpose

 Allow reimbursement during an emergency or disaster even if providers can't comply with certain requirements that would under normal circumstances bar Medicare, Medicaid or CHIP payment

Duration

 End no later than the termination of the emergency period, or 60 days from the date the waiver or modification is first published unless the Secretary of HHS extends the waiver by notice for additional periods of up to 60 days, up to the end of the emergency period.



1135 Waiver Examples

EMTALA

 Request to set up Alternate Screening Locations

Critical Access Hospitals

- 42 CFR 485.620
- Requires 25bed limit and Average Patient stays of less than 96-ours

Skilled Nursing Facilities

- SSA 1812 (f)
- Three-day prior hospitalization for SNF Patients



1135 Waiver Review Process

Within defined Emergency Area?

Can this be resolved within current regulations?

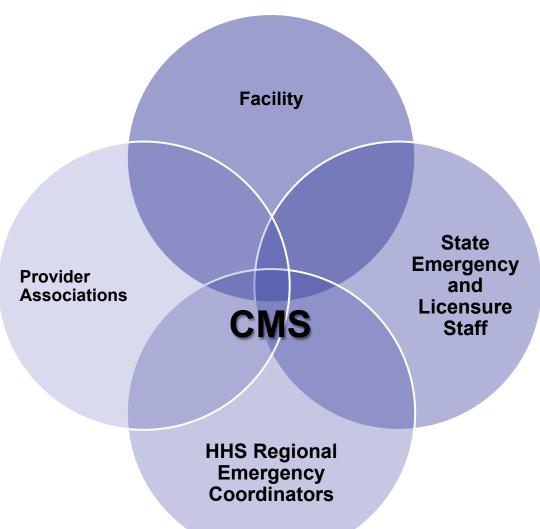
Will Regulatory relief requested actually address stated need?

Is there an actual need?

What is the expected duration?

Should we consider individual or blanket waiver?

Waiver Review Inputs





Expectations of Waived Providers



• Provide sufficient information to justify actual need



 Providers and suppliers will be required to keep careful records of beneficiaries to whom they provide services, in order to ensure that proper payment may be made.



 Providers must resume compliance with normal rules and regulations as soon as they are able to do so





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To assure timely responses to all inquiries, please send all questions to one of the following Email addresses for CMS Regional Offices:

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